

10/519218

Rec'd PCT/PTO 17 DEC 2004

**Express Mail Label Number ER 913328488 US**

Attorney's Docket No. 2002DE118

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Olaf SCHUPP, et al. : Attorney Docket: 2002DE118

Serial No.: to be assigned :

Filed: December 17, 2004 :

For: Method For Producing Disazo Pigments

**Transmittal Letter**

**Notification of Amendments Under PCT Article 34**

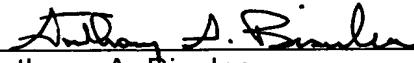
Mail Stop  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note the attached pages numbered 30 - 32, as filed with the European Patent Office on August 23, 2004. We enclose copies of the above-mentioned pages for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,

  
Anthony A. Bisulca  
Attorney for Applicant  
Registration No. 40,913

**(CUSTOMER NUMBER 25,255)**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, NC 28205  
Phone 704 331-7151  
Fax 704 331-7707

10/519218

DT45 Rec'd PCT/PTO 17 DEC 2004  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**Date of Mailing: December 17, 2004**

**CERTIFICATION UNDER 37 CFR 1.10**

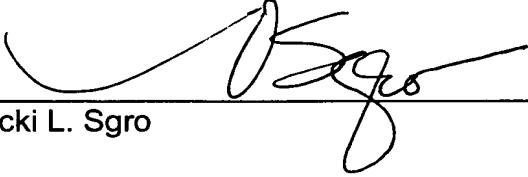
I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

**Inventor:** **Olaf SCHUPP**  
**Felix W. GRIMM**  
**Manfred OPRAVIL**  
**Joachim WEBER**

**For:** **Method For Producing Disazo Pigments**

**International Application No.:** **PCT/EP03/05520**  
**International Filing Date:** **27/05/2003 (27 May 2003)**  
**Priority Filing Date:** **20/06/2002 (20 June 2002)**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

  
Vicki L. Sgro

FORM PTO-1390 (REV. 01/2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 2002DE118
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) unknown <b>10/519218</b>
INTERNATIONAL APPLICATION NO. PCT/EP03/05520	INTERNATIONAL FILING DATE 27 May 2003	PRIORITY DATE CLAIMED 20 June 2002
TITLE OF INVENTION Method For Producing Disazo Pigments		
APPLICANT(S) FOR DO/EO/US Olaf SCHUPP, Felix W. GRIMM, Manfred OPRAVIL, Joachim WEBER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> Has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> Is not required, as the application was filed in the United States Receiving Office (Ro/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> Have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> Have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> Have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An application Data Sheet under 37 CFR 1.76</p> <p>15. <input type="checkbox"/> A substitute specification</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter .2 and 37 CFR 1.821 – 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:           <ul style="list-style-type: none"> <li>• Express Mail Label Number: ER913328488US; copy of the International Search Report; copy of IPER with amended sheets.</li> </ul> </p>		

Customer Number 25,255

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  
unknow 10/519218INTERNATIONAL APPLICATION NO.  
PCT/EP03/05520ATTORNEY'S DOCKET NUMBER  
2002DE11821.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
 Nor international search fee (37 CFR 1.445(a)(2) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO.....\$1,110.00

International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search Report prepared by the EPO or JPO.....\$ 950.00

International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search fee (37 CFR 1.482) paid to USPTO.....\$790.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....\$750.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 and all claims satisfied provisions of PCT Article 33 (1)-(4) ..\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
03	2060
1032	500
1642	400
\$ 950.00	
\$ 0.00	

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months  
 From the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	23 - 20 =	3	x \$18.00	\$ 54.00
Independent claims	3 - 3 =	0	x \$88.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,004.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fee indicated above is reduced by 1/2. <small>08/03/2005 WALVARAD 00000007 032060 10519218    Sale Ref: 000000011 DAH: 032060 10519218    01 FC:1642 400.00 DA \$ 0.00</small>				
<b>SUBTOTAL =</b>				<b>\$ 1,004.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months From the earliest claimed priority date (37 CFR 1.492(f)).				
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,004.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,044.00</b>
				<b>Amount to be refunded:</b> \$
				<b>charged:</b> \$

a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

Adjustment Date: 08/03/2005 WALVARAD  
 01/05/2005 MKAYPABH 00000061 032060 10519218  
 02 FC:1642 \$0.00 DA

b.  Please charge my Deposit Account No. 03-2060 in the amount of \$ 1,044.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

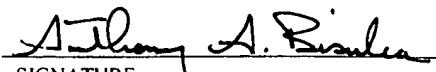
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.

d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Clariant Corporation  
 Industrial Property Department  
 4000 Monroe Road  
 Charlotte, North Carolina 28205  
 Telephone: 704-331-7151  
 Fax: 704-331-7707

  
 SIGNATURE

Anthony A. Bisulca  
 NAME